

Appendix 4

Contract Management/Value for Money		
	Concern	Rationale
UDA per patient	High	Reasons for high UDA per patient are likely to indicate high risk
No or low activity	Low	Reasons for low activity are likely to be administrative or technical
Early delivery	Low	Routine monitoring and mid-year review will identify and manage this risk
Late reporting	Low	Reasons for late reporting are likely to be administrative or technical

Fragmentation of Treatment		
	Concern	Rationale
FP17s within 3 months of previous FP17	High	Reasons for high numbers are likely to indicate high risk as well as reducing patient access
Band 2 or 3 starts and ends on same day	Medium	Reasons for 'same dates' could be administrative but if not could indicate high risk
Band 2 or 3 rate per person in 12 months	Medium	To be considered in context of NICE Guidelines on recall intervals
FP17s within 12 months of previous FP17	Medium	To be considered in context of NICE Guidelines on recall intervals
Free repairs or replacements	High	Could indicate significant risk for quality of treatment
Continuations of treatment	High	Could indicate poor quality of treatment planning

Re-attendance/adult mix		
	Concern	Rationale
FP17s within 3 to 9 months	Medium	To be considered in context of NICE Guidelines on recall intervals
Adult mix (high/low numbers of exempt patients)	Low	Likely to reflect that a contract is restricted to exempt adults

Patient Case-mix		
	Concern	Rationale
UDA per form	Medium	Reasons for high rate could be administrative but if not could indicate high risk
No clinical data	Low	Reasons for high rate could be

Appendix 4

		administrative but continued high rates could constitute a breach of contract
Band 3 to Band 2 rate	Medium	Reasons for a high rate are likely to indicate high risk
Band 1 Urgent treatments	Medium	High rates could indicate poor treatment planning or treatment
Inlay rates	High	High rates could indicate inappropriate treatment is being provided

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